

**Research Scientist/Engineer Career Path Guidelines - Competencies Arranged by Function**

|                        | <b>S/E 1<br/>9692/1492</b>   | <b>S/E 2<br/>9693/1493</b>   | <b>S/E 3<br/>1494</b>  | <b>S/E 4<br/>1495</b>   | <b>S/E Senior<br/>1496</b>   | <b>S/E Principal<br/>1497</b>  | <b>S/E Sr. Principal<br/>1498</b>  |
|------------------------|--|--|--|---|--|--|--|
| <b>Function</b>        | <b>Technical Problem Solving and Innovation</b>  |  |  |   |  |  |  |
| <b>Problem-Solving</b> | <p>Solves well-defined problems using accepted methods &amp; techniques; defines &amp; improves practices from experiences on small projects.</p> <p>Assignments require investigation of a limited number of variables with few complex features.</p> | <p>Evaluates, selects &amp; applies standardized scientific/engineering procedures &amp; techniques requiring investigation of a limited number of variables.</p>            | <p>Seeks continual improvement of work practices from experiences with moderate-sized projects.</p> <p>Assignments are of moderate complexity involving potentially conflicting requirements; identifies problems &amp; related technical issues leading to long-term generic solutions.</p> | <p>Identifies root causes of technical or quality problems.</p> <p>Applies independent problem solving requiring the application of existing or emerging scientific/engineering knowledge.</p>                        | <p>Independent problem solving requiring the application of existing &amp; emerging scientific/engineering knowledge.</p>  | <p>Applies extensive &amp; diversified knowledge of scientific research or engineering principles &amp; practices in broad areas.</p>  | <p>Applies extensive &amp; diversified knowledge of scientific research or engineering principles &amp; practices in broad areas.</p>  |
| <b>Innovation</b>      | <p>Makes technical contributions to project tasks; develops extensions to existing methods.</p>  | <p>Contributes to ideas &amp; methods for the expansion of existing, or development of, new research efforts.</p> <p>Contributes to intellectual development activities.</p> | <p>Develops extensions to existing methods/protocols.</p> <p>Contributes to intellectual development activities; may lead a portion of the activity.</p>   | <p>Develops innovative extensions to accepted methods; may develop new research methods; extends technology into new application areas.</p> <p>Contributes or leads in major intellectual development activities.</p> | <p>Develops new research &amp; engineering methods/approaches; serves as a key leader in extending critical technical capabilities.</p> <p>Leads the development of intellectual property.</p> | <p>Develops unique approaches/standards/methods for conducting research; develops highly advanced technologies, products, scientific principles, theories &amp; concepts.</p> <p>Pushing existing technical/scientific research into new frontiers; research has substantial impact that extends knowledge &amp; understanding.</p> <p>Selects/identifies new areas of investigation in order to further the Department's/UW's research mission.</p> | <p>May create new science and/or technologies, and extend them into new applications; develops potential research areas.</p> <p>Contributes inventions, new designs or techniques that are of material significance in the solution of important problems.</p> <p>Identifies, proposes, and leads new strategies/ initiatives that are critical to the UW.</p> |

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|------------------------------|---|--|---|--|---|---|--|
| <b>Function</b>              | <b>Project Planning &amp; Management</b>  |  |   |  |   |   |  |
| <b>Size &amp; Complexity</b> | Performs tasks/ projects of a limited scope under limited supervision; demonstrates ability to work independently.                                      | Performs tasks/ projects of a larger scope; may lead specific tasks within the project scope.  | Manages projects of moderate size & scope; makes substantial contributions to determining feasibility of goals/objectives.                                    | Manages multiple or significant projects which may require use of sophisticated project planning techniques.   | Manages technically complex projects (high technical impact or risk) which involve multiple organizations and/or disciplines. | Manages projects of major strategic value to UW which enhance the university's reputation for technical expertise.  | Manages projects of major strategic value to UW which enhance the university's reputation for technical expertise.   |
| <b>Funding Contributions</b> | No defined role in identifying/securing research funding; successful performance of project related tasks provides support to on-going funding efforts. | Takes responsibility for assuring quality, cost effectiveness & timeliness for small projects.<br><br>Assists in procurement of additional/new funding through contributions to technical proposal preparation and/or presentations. | Maintains external research relationships to identify & develop new funding sources.  | Initiates new project concepts & seeks funding sources; identifies grant/project extensions & persuades customer/ grant sponsor to fund.   | Identifies & leads research funding activities.<br><br>Reputation leads to ability to attract significant funding.            | Plays a lead role in the identification/acquisition of research funding.<br><br>Reputation leads to ability to attract major funding.   | Leads the development & implementation of new and/or expanded technical capabilities that will impact future research projects/ funding.<br><br>Provides the University with a distinct competitive advantage in procuring major new/ continued funding. |
| <b>Planning</b>              | Implements established systems/ approaches for moderately difficult tasks.  | Learns methods for planning, including assessment of cost, scope & schedule against plan.  | Creates, monitors & implements effective plans; contributes to development of proposals, and may make presentations.  | Develops technical proposals & makes presentations to potential customers/ grant sponsors.   | Participates in strategic planning; directs/participates in major proposal preparation & presentation.                        | Directs the preparation of major proposals & presentations.   | Proposes & leads new initiatives involving multidisciplinary & multi-jurisdictional initiatives; directs the preparation of proposals & presentations.   |
| <b>Project Scoping</b>       |   | Scopes tasks & develops approaches for moderately difficult tasks.   | Takes lead for scoping tasks/projects; assembles research team members.<br><br>Sets technical approach for solving problems & meeting customer/grantor needs. | Evaluates proposed or on-going projects; interfaces with customer/grant sponsor and UW management for existing/proposed projects.<br><br>Project results may impact UW research mission; results are visible/ impactful in peer community. | Project results impact UW research mission; results are usually visible/ impactful at national level.                         | Widely recognized by key customers/grant sponsors as being central to their mission.<br><br>Project results have significant impact on UW research mission; results usually visible/impactful at national/ international level. | Guides programs of national or international significance.<br><br>Project results have significant impact on UW research mission; results usually visible/impactful at national/ international level.  |

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| <b>Function</b>            | <b>Leadership/Technical Influence/Networking</b>   |   |  |   |   |   |   |
| <b>Team Participation</b>  | <p>Works effectively as a member of a team under technical guidance of seasoned staff.</p> <p>May guide the work of co-workers, students, research aides/ assistants, technicians or other support staff to achieve specific assignments.</p> <p>Establishes productive relationships with co-workers &amp; customers to accomplish team objectives.</p> | <p>Monitors the work of junior staff to ensure that correct procedures are followed; provides direction to assure completion of technical tasks &amp; projects.</p> <p>Interacts in a collaborative manner with other team members to accomplish organizational goals.</p>                        | <p>Monitors the work of others &amp; redirects efforts to achieve task/project objectives or enhance quality.</p> <p>Mentors junior staff in development of technical, project and business development skills.</p>  | <p>Mentors/trains staff in development of technical skills.</p> <p>Provides major input to staffing of overall project teams; builds team &amp; staff to optimize efficiency &amp; cost effectiveness.</p> <p>Leads interdisciplinary project teams; identifies &amp; evaluates candidates for research positions.</p>  | <p>Provides intensive mentoring/training of senior research staff; utilizes individuals on projects to optimize their technical strengths to best meet customer/ grantor needs.</p> <p>Assists in defining staff needs, selection/ assessment criteria, and hiring process.</p> <p>Integrates project staffing decisions with overall technical strategy; uses national network to identify high caliber research talent.</p> | <p>Directs technical performance of several groups or teams which may be interdepartmental and/or interdisciplinary; encourages staff innovation.</p> <p>Defines staff needs, selection/assessment criteria, and hiring process for senior research staff.</p> <p>Assists in defining the technical appraisal process for research staff.</p>     | <p>Directs technical performance of multiple groups or teams which are interdepartmental and/or interdisciplinary; encourages staff innovation.</p> <p>Defines staff needs, selection/assessment criteria, and hiring process for senior research staff.</p>  |
| <b>Technical Influence</b> | <p>Contributes ideas and comments to proposals, plans and other research development activities.</p> <p>Contributes data/ findings for use in reports, documents, or oral/written presentations.</p> <p>May provide ideas to improve efficiency at group level.</p>  | <p>Assists in preparation of reports, papers, presentations, new proposals, etc., and may collaborate on some; presents papers at technical meetings.</p> <p>Provides contributions which influence important customer decisions.</p> <p>Provides ideas to improve efficiency at group level.</p> | <p>Sought out for contributions to reports and publications; author/co-author on papers, proposals, presentations &amp; reports; gives invited papers.</p> <p>Influences important customer &amp; UW decisions.</p> <p>Provides ideas to improve organizational efficiency at group and department levels.</p> | <p>Chairs sessions at technical meetings; gives invited papers; participates in external seminars, workshops, professional societies &amp; committees.</p> <p>Identifies, initiates and follows through on research opportunities; impacts customer decisions &amp; strategies.</p> <p>Provides innovative problem-solving approaches to enhance organizational capabilities.</p> | <p>Organizes &amp; chairs sessions at technical meetings and presents invited papers.</p> <p>Significant involvement in external seminars, workshops, professional societies &amp; committees.</p> <p>Develops &amp; initiates technical standards through interactions with professional societies &amp; key customers.</p>  | <p>Presents papers, leads symposia &amp; chairs committees; publications &amp; presentations establish "national agenda" for research work in area of specialization.</p> <p>Participates on advisory/policy boards, journals &amp; societies with national/ international focus.</p> <p>Serves as peer reviewer of major technical programs.</p> | <p>Frequently asked to present papers, lead symposia, &amp; chair committees at national/ international levels.</p> <p>Establishes new scientific/technical direction resulting in new fields of study.</p> <p>Participates on advisory/policy boards, journals &amp; societies with national/ international focus.</p> |

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|---------------------------------|---|--|--|--|---|---|---|
| <b>Function</b>                 | <b>Leadership/Technical Influence/Networking (cohntinued)</b> |  |  |  |   |   |   |
| Technical Influence (continued) |   |  |  | Serves as a resource to Department & UW; influences strategic customer decisions; may influence decisions beyond immediate customer/grantor. | Serves as an influential resource to UW and its customers for problem resolution, meeting client needs, and for identifying research opportunities. | Primary resource to UW & customers in identifying future problems, research trends & opportunities.           | Influences national/ international profession through significant scientific discovery, invention & technical innovation. |
| Networking                      | Networks primarily within own technical peer group.           | Has established network in internal peer group; starts becoming part of identifiable external peer network | Recognized for technical contributions by external peer network. | Uses peer network to expand technical capabilities & identify new research opportunities.  | Networks nationally; may receive awards at national level.  | Networks nationally & internationally; receives prestigious awards, rank, or position in technical societies. | Networks nationally & internationally; receives prestigious awards, rank, or position in technical societies.             |

|                      | <b>S/E 1<br/>Grade 6</b>  | <b>S/E 2<br/>Grade 7</b>  | <b>S/E 3<br/>Grade 8</b>   | <b>S/E 4<br/>Grade 9</b>   | <b>S/E Senior<br/>Grade 10</b>   | <b>S/E Principal<br/>Grade 11</b>  | <b>S/E Sr. Principal<br/>Grade 12</b>  |
|----------------------|---|---|--|--|--|--|--|
| <b>Function</b>      | <b>Technical Excellence</b>   |   |  |  |  |  |  |
| Technical Excellence | Knows the fundamental concepts, practices & procedures of a particular field of specialization. | Knows & effectively uses the fundamental concepts, practices & procedures of a particular field of specialization; continues development of technical expertise & knowledge through experience & application. | Establishing distinguishing technical expertise; has broad knowledge of principles, practices & procedures of field of specialization. | Recognized as a scientific/ engineering leader for relevant technical area; advances state-of-the-art research in field of specialization. | Applies advanced knowledge to the completion of complex assignments/projects.<br><br>Developing as an authority with national recognition. | Applies extensive & advanced knowledge to the development of solutions to complex problems/projects that require innovation & ingenuity.<br><br>Recognized as a national or international authority. | Applies extensive & advanced knowledge to the development of solutions to complex problems/projects that require innovation & ingenuity.<br><br>Recognized as a national or international authority. |